



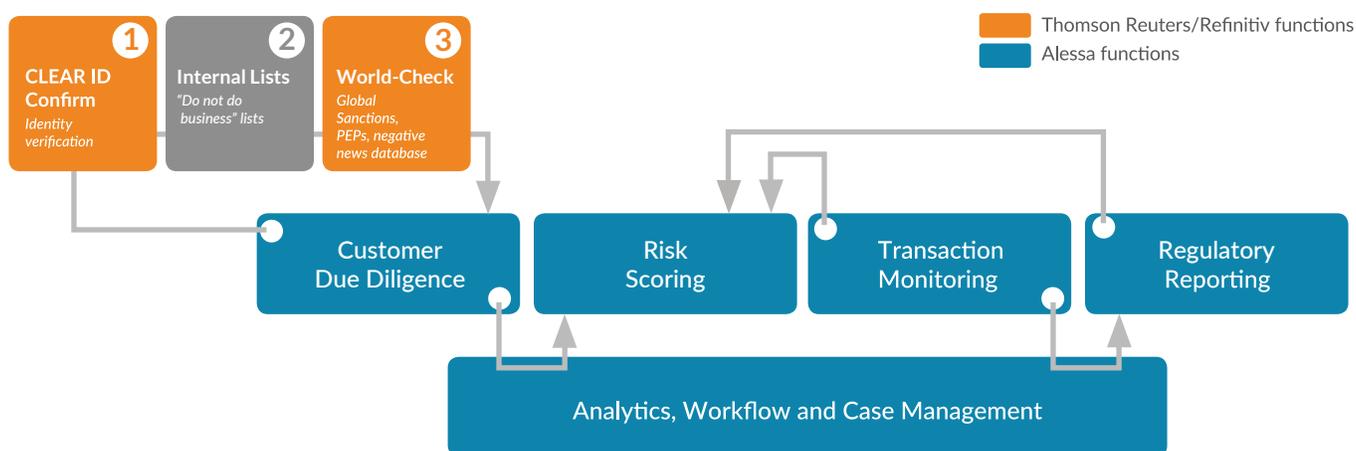
Risk Intelligence and Identity Verification for Due Diligence



Alessa is a highly configurable, end-to-end financial crime, risk and compliance solution that delivers customer due diligence, sanctions screening, transaction monitoring, risk scoring, regulatory reporting, workflows and case management.

When combined with Refinitiv World-Check Risk Intelligence and Thomson Reuters CLEAR ID Confirm™, customers can enjoy the benefits of identity verification and expanded screening and due diligence capabilities – all in one place.

Using risk intelligence and ID verification information with Alessa



Conduct all compliance activities on a single platform

- Incorporate results from identity verification into transaction monitoring, risk scoring and regulatory reporting processes
- Use automated workflows and case management to drive identity verification and investigations
- Maintain an audit trail of all due diligence activities for auditors and regulators

Ease compliance with on-demand identity verification

- Use one application for due diligence, sanctions screening and identity verification
- Add public and proprietary data offered by CLEAR® to existing third-party risk intelligence and enterprise data
- Quickly verify business relationships to avoid doing business with bad actors
- Perform identity verification based on customizable fields that fit your organization's needs

Add identity verification scores into risk scoring

- Create a more comprehensive profile of your subject for better, overall risk management
- Make more informed decisions regarding an entity due to the combined identity and risk scores
- Continuously update risk scores with transaction monitoring and link analysis information

Alessa and World-Check

World-Check risk intelligence expands screening and due diligence capabilities on a global scale and delivers high-quality risk intelligence that organizations everywhere put their trust in every day. World-Check delivers over 3 million continuously updated reports of heightened-risk individuals and entities worldwide.

The screenshot shows the Alessa World-Check interface. At the top, there's a navigation bar with 'Action', 'Re-assign', 'Assign to Me', and 'Associate'. Below that, a 'Resolution' bar shows 'Open', 'Investigation Status: Assigned', and 'Confirmed: Yes'. The main profile area displays: Name: Robert Allen Stanford, Gender: M, DOB: 19500324, Location: United States, Entity Type: Individual, Source: OnDemand. A 'Prospects (1)' sidebar shows 'Robert Allen STANFORD' with a 'Confirmed' status. The main content area features a world map with 'Locations' highlighted in the United States (Texas, District of Columbia, St Croix US Virgin Islands, Frederiksted, Antigua and Barbuda, Montserrat) and Switzerland (Küsnacht). Navigation tabs include Profile, Report, Connections, Further information, Locations, Keywords, and Sources. A 'Record 1 of 1' indicator is at the bottom right.

Alessa and CLEAR ID Confirm

Confirm identities accurately and efficiently with an entire process that can be customized to your specific needs. Scoring customization allows you to determine which data is most relevant to a specific case, so you can easily determine if your subject is who they say

they are. You'll be fully outfitted with credit header data, utility records, OFAC lists, FEIN information, internal records and work affiliations information – comprehensive public and proprietary data you can leverage to proactively and confidently mitigate risk.

The screenshot shows the CLEAR ID Confirm interface. The top navigation bar includes 'Dashboards', 'Work Items', 'Reporting', 'Configure', and 'Due Diligence'. The 'Due Diligence' section is active, showing 'CLEAR ID Confirm Search'. The main content area displays a search result for 'Jane Sample' with a 'CLEAR ID Confirm Score' of 100. The score is broken down by category: User Input (100), Summary (100), Experian Credit Header (100), Utility Listing (100), Driver's Licenses (100), and Vehicle (100). A 'Risk Flags' section on the right lists 'Death', 'OFAC', and 'SSN Matches Multiple Individuals'. The bottom section shows 'Known Addresses', 'Email Address', and 'Content Source'.

Content Source	First Name	Middle Name	Last Name	Phone Number	Birth Date	SSN	Street Number	Street	City	State	Zip Code	Content Score
User Input	JANE		SAMPLE-D...	(651) 396-0...	01/10/1951	999-99-9990	240	SUMMIT AVE	SAINT PAUL	MN	55102	
Summary	JANE		SAMPLE-DOCU...	(651) 396-0728	Mon Jan 1 00:00...	999-99-9990	240	SUMMIT AVE	SAINT PAUL	MN	55102	100
Experian Credit Header	JANE		SAMPLE-DOCU...			999-99-XXXX	240	SUMMIT AVE	SAINT PAUL	MN	55102	100
Utility Listing	JANE		SAMPLE-DOCU...			999-99-XXXX	240	SUMMIT AVE	SAINT PAUL	MN	55102	100
Driver's Licenses	JANE		SAMPLE-DOCU...				5578	SAMPLE AVE	CADILLAC	MI	49601	100
Vehicle	JANE		SAMPLE-DOCU...				811	OPFERMAN DR	EAGAN	MN	55123	100

Strengthen your due diligence program

When used with identity verification and risk intelligence data, Alessa allows companies to

- Verify the identity and screen individuals and businesses
- Screen (and potentially block) transactions
- Detect and track changes in risk scores
- Reduce reliance on web searches for investigations

- Easily collect supporting information for regulatory reports and much more

To learn how you can embed effective fraud prevention and compliance measures into day-to-day operations, visit us at alessa.com or email us at alessa@caseware.com.

About CaseWare RCM

CaseWare RCM Inc. is the maker of Alessa, a financial crime detection, prevention and management solution. With deployments in more than 20 countries in banking, insurance, FinTech, gaming, manufacturing, retail and more, Alessa is the only platform organizations need to identify high-risk activities and stay ahead of compliance. To learn more about how Alessa can help your organization ensure compliance, detect complex fraud schemes, and prevent waste, abuse and misuse, visit us at www.alessa.com.



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